

HIE Governance Model Components and Comparisons

Functions	Grant Model	RQI Model	Other	Other	Other
Governance	<ul style="list-style-type: none"><li>State led</li><li>Governor ultimate authority</li><li>SG and HIT Coordinator report to governor</li><li>HIT Coordinator oversees Office of HIE</li></ul>	<ul style="list-style-type: none"><li>Public/private collaborative creates an independent organization</li><li>Governmental and private/tax deductible funding</li></ul>	<ul style="list-style-type: none"><li>Public utility w/ State oversight</li><li>Legislatively created</li><li>Gov. appointed Board provides policy guidance w/ subcommittees</li><li>Office of HIE contracts w/ vendor to provide services</li></ul>	<ul style="list-style-type: none"><li>State Initiated</li><li>Transition to public utility</li></ul>	<ul style="list-style-type: none"><li>Initially Government</li><li>Transition to integrated public/private model</li><li>Articles of incorporation, by-laws</li></ul>
Convening Stakeholders	<ul style="list-style-type: none"><li>HIE Council (created by Exec. Order)- Chaired by SG and composed of private and public stakeholders</li><li>HIT/HIE Stakeholder’s forum</li></ul>	<ul style="list-style-type: none"><li>Stakeholders have ownership</li></ul>	<ul style="list-style-type: none"><li>Government is convener but Board could resemble a utility commission</li><li>Board responsible for choosing entity to build exchange, approve upgrades and review operations</li></ul>	<ul style="list-style-type: none"><li>Ensure consensus on architecture and operational model</li><li>Facilitate alignment of policies among stakeholders</li><li>Commission to approve HIE system upgrades and review operations</li></ul>	<ul style="list-style-type: none"><li>Public/private stakeholders</li></ul>
Board of Directors	<ul style="list-style-type: none"><li>No formal board</li><li>HIE Council</li></ul>	<ul style="list-style-type: none"><li>Not-for-profit governing board model</li></ul>	<ul style="list-style-type: none"><li>HIT Coordinator and stakeholders Appointed by Gov structured similar to Board for State and Public School Life and Health Insurance</li><li>Appropriate standing committees legislatively established and Gov. appointed</li></ul>	<ul style="list-style-type: none"><li>Commission composed of public and private stakeholders</li></ul>	<ul style="list-style-type: none"><li>15-25 member board-25% non health care providers</li><li>Members representing medical, health care, legal, financial, business, insurances, public health, HIT, government</li><li>Staggered 3, 4, 5 year terms, max. 3 consecutive terms</li><li>501-c (3) established, by-laws include Board/Commission composition, authority, etc</li><li>Board employs CEO</li></ul>
Own and operate the HIE	<ul style="list-style-type: none"><li>State</li></ul>	<ul style="list-style-type: none"><li>Owned and operated by independent agency</li></ul>	<ul style="list-style-type: none"><li>Owned and operated by ind. agency as contracted by the Office of HIE</li></ul>	<ul style="list-style-type: none"><li>Commission oversees operation of HIE</li><li>Operation of HIE conducted by selected operating entity</li></ul>	<ul style="list-style-type: none"><li>Board/Commission-CEO ensures development of RFP to select entity to build exchange; approval of contract by Board</li></ul>
Legislation Required	<ul style="list-style-type: none"><li>Office of HIE-created by Exec. Order</li></ul>		<ul style="list-style-type: none"><li>Office of HIE-created by Exec. Order</li><li>Board created w/ Exec. Order</li></ul>	<ul style="list-style-type: none"><li>Commission created</li></ul>	<ul style="list-style-type: none"><li>Office of HIE created by Exec. Order</li></ul>

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	<ul style="list-style-type: none"><li>• HIE Council created with Exec. Order</li><li>• Legislation will be passed as needed in 2011 session regarding privacy/security and other functions as needed</li></ul>		<ul style="list-style-type: none"><li>• Legislation passed as needed in 2011session regarding privacy/security and other functions as needed</li></ul>		<ul style="list-style-type: none"><li>• Board created by Exec. Order</li><li>• Legislation passed as needed in 2011 session</li></ul>
Process for oversight and accountability			<ul style="list-style-type: none"><li>• Board creates rules of operation for the HIE and the collaborative</li><li>• Office of HIE implements and manages those policies and contracts with an external vendor to provide services</li></ul>	<ul style="list-style-type: none"><li>• Operating entity provides periodic performance metrics to Commission</li><li>• Periodic re-licensing of operating entity</li></ul>	<ul style="list-style-type: none"><li>• Office of HIE has oversight authority</li><li>• Board –policy making, accountable, has oversight authority</li></ul>
Privacy and Security	<ul style="list-style-type: none"><li>• Privacy and Security Officer in</li><li>• CIO in Office of HIE</li></ul>	<ul style="list-style-type: none"><li>• Committee</li></ul>	<ul style="list-style-type: none"><li>• State government responsible for developing and enforcing privacy and security legislation</li><li>• Board would be responsible for developing privacy and security policy to manage legislative requirements</li><li>• Office of HIE oversees compliance</li></ul>	<ul style="list-style-type: none"><li>• State develops and enforces privacy and security policies</li><li>• Commission oversees compliance</li></ul>	<ul style="list-style-type: none"><li>• Office of HIE develops</li><li>• Board creates policy for privacy and security</li><li>• CEO carries out policies</li></ul>
Financing	<ul style="list-style-type: none"><li>• Advise State Agency in setting up accounting systems to collect fees for the sustainability model</li><li>•CFO in Office of HIE</li></ul>	<ul style="list-style-type: none"><li>• Collect and distribute funds to ensure sustainability</li></ul>	<ul style="list-style-type: none"><li>• Entity to create a transparent process for setting fees and charges to sustain the HIE</li></ul>	<ul style="list-style-type: none"><li>• State funds create HIE</li><li>• Commission proposes fee structure to sustain HIE</li></ul>	<ul style="list-style-type: none"><li>• Government develops strategies and regulatory option to address market failures</li></ul>
Rates			<ul style="list-style-type: none"><li>• Utility will review rates to ensure they allow the HIE to perform the defined services and provide a reasonable rate of return</li></ul>	<ul style="list-style-type: none"><li>• Commission will establish rates</li></ul>	<ul style="list-style-type: none"><li>• Government establishes rates</li><li>• Board sets rates</li></ul>